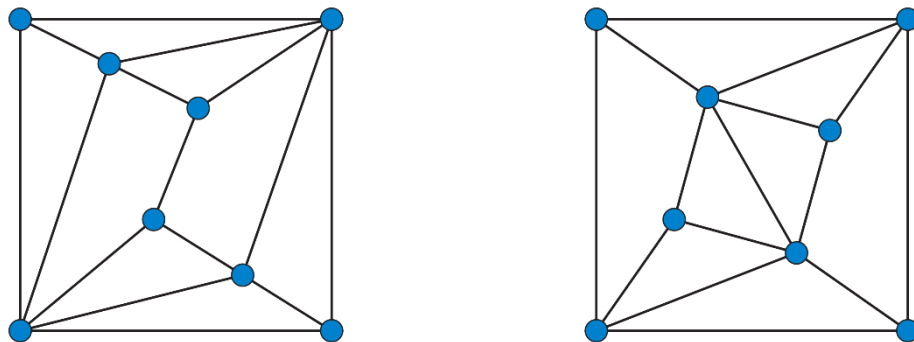


# Cokernel statistics of walk matrices

Towards generalized spectral determinacy of random graphs



Alexander Van Werde, Dutch-Belgian discrete math seminar (2024)

Preprint available at [arXiv:2401.12655](https://arxiv.org/abs/2401.12655)

**Can *all* information about a graph be recovered  
from its spectrum?**

# Some history: spectral determinacy of graphs

## 1957 (Collatz and Sinogowitz)

No, non-isomorphic graphs can have the same adjacency spectrum.

## 1973 (Schwenk)

Almost all trees are cospectral!

# Some history: spectral determinacy of graphs

## 1957 (Collatz and Sinogowitz)

No, non-isomorphic graphs can have the same adjacency spectrum.

## 1973 (Schwenk)

Almost all trees are cospectral!

## 1993 (From Godsil's book)

“It is an open question whether almost all graphs are characterized by their characteristic polynomials. It is not even clear if we should seek to prove this, or to disprove it.”

## 2003 (van Dam and Haemers)

Conjecture: almost all graphs are determined by spectrum.



# Some history: spectral determinacy of graphs

## 1957 (Collatz and Sinogowitz)

No, non-isomorphic graphs can have the same adjacency spectrum.

## 1973 (Schwenk)

Almost all trees are cospectral!

## 1993 (From Godsil's book)

"It is an open question whether almost all graphs are characterized by their characteristic polynomials. It is not even clear if we should seek to prove this, or to disprove it."

## 2003 (van Dam and Haemers)

Conjecture: almost all graphs are determined by spectrum.

## 2023 (Koval and Kwan)

At least  $\exp(cn)$  graphs are determined by spectrum.



We lack flexible  
proof techniques...

# Some history: generalized spectral determinacy

## 1980 (Johnson and Newman)

“It is our view, however, that to some extent these examples are algebraic accidents due to the interpretation of the formal symbols 0 and 1 as real numbers.”

## Definition. (Generalized cospectral)

Graphs  $G, H$  are said to be *generalized cospectral* if

$$\text{spec}(A_G^{x,y}) = \text{spec}(A_H^{x,y}) \quad \forall x, y \in \mathbb{R}.$$

where  $A_G^{x,y}$  is the variant on the adjacency matrix with  $1 \rightarrow x$  and  $0 \rightarrow y$ .

# Some history: generalized spectral determinacy

## 1980 (Johnson and Newman)

“It is our view, however, that to some extent these examples are algebraic accidents due to the interpretation of the formal symbols 0 and 1 as real numbers.”

## Definition. (Generalized cospectral)

Graphs  $G, H$  are said to be *generalized cospectral* if

$$\text{spec}(A_G^{x,y}) = \text{spec}(A_H^{x,y}) \quad \forall x, y \in \mathbb{R}.$$

where  $A_G^{x,y}$  is the variant on the adjacency matrix with  $1 \rightarrow x$  and  $0 \rightarrow y$ .

## 2006 (Wang and Xu)

Sufficient condition for generalized spectral determinacy.

## 2017 (Wang) – 2023 (Qui, Wang, Zhang)

Improved and rephrased the conditions.



# Some history: generalized spectral determinacy

## 1980 (Johnson and Newman)

“It is our view, however, that to some extent these examples are algebraic accidents due to the interpretation of the formal symbols 0 and 1 as real numbers.”

## Definition. (Generalized cospectral)

Graphs  $G, H$  are said to be *generalized cospectral* if

$$\text{spec}(A_G^{x,y}) = \text{spec}(A_H^{x,y}) \quad \forall x, y \in \mathbb{R}.$$

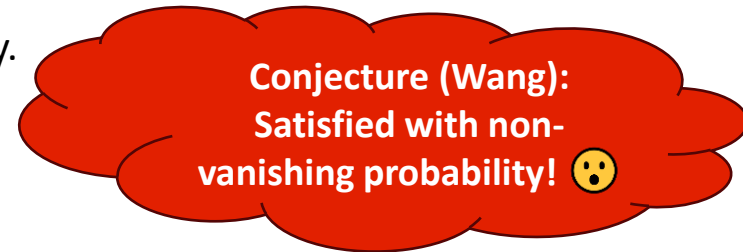
where  $A_G^{x,y}$  is the variant on the adjacency matrix with  $1 \rightarrow x$  and  $0 \rightarrow y$ .

## 2006 (Wang and Xu)

Sufficient condition for generalized spectral determinacy.

## 2017 (Wang) – 2023 (Qui, Wang, Zhang)

Improved and rephrased the conditions.



# Sufficient condition for generalized spectral determinacy

## Definition (Walk matrix)

Given an integer matrix  $X \in \mathbb{Z}^{n \times n}$ , consider the matrix

$$W := [e, Xe, X^2e, \dots, X^{n-1}e]$$

where  $e = (1, \dots, 1)^T$ .

# Sufficient condition for generalized spectral determinacy

## Definition (Walk matrix)

Given an integer matrix  $X \in \mathbb{Z}^{n \times n}$ , consider the matrix

$$W := [e, Xe, X^2e, \dots, X^{n-1}e]$$

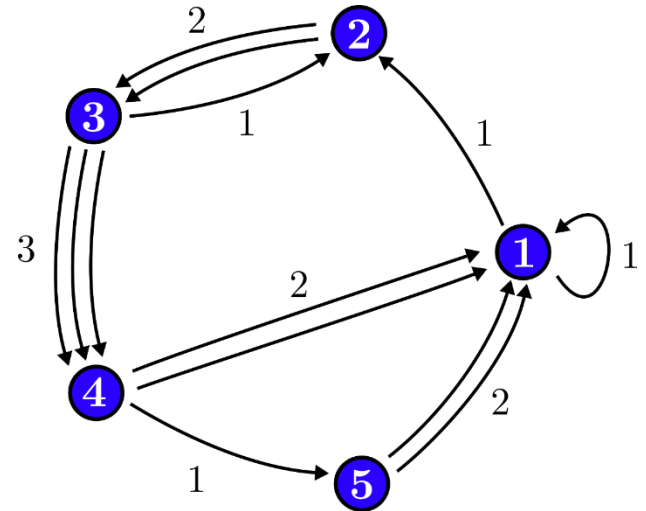
where  $e = (1, \dots, 1)^T$ .

Interpret  $X_{i,j}$  as edge multiplicity.

Then,  $W_{i,j}$  counts walks of length  $j - 1$  ending in  $i$ .

## Example.

$$W_{5,3} = 3 \cdot 1 = 3$$



# Sufficient condition for generalized spectral determinacy

## Definition (Walk matrix)

Given an integer matrix  $X \in \mathbb{Z}^{n \times n}$ , consider the matrix

$$W := [e, Xe, X^2e, \dots, X^{n-1}e]$$

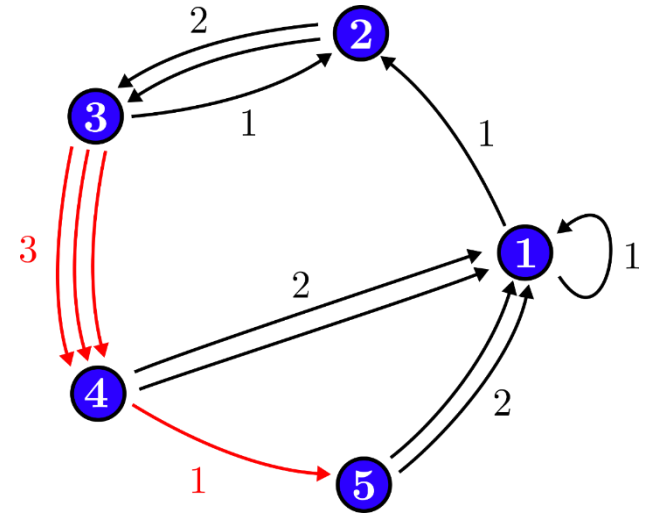
where  $e = (1, \dots, 1)^T$ .

Interpret  $X_{i,j}$  as edge multiplicity.

Then,  $W_{i,j}$  counts walks of length  $j - 1$  ending in  $i$ .

## Example.

$$W_{5,3} = 3 \cdot 1 = 3$$



# Sufficient condition for generalized spectral determinacy

## Definition (Walk matrix)

Given an integer matrix  $X \in \mathbb{Z}^{n \times n}$ , consider the matrix

$$W := [e, Xe, X^2e, \dots, X^{n-1}e]$$

where  $e = (1, \dots, 1)^T$ .

## Notation

$$\text{coker}(W) := \mathbb{Z}^n / W(\mathbb{Z}^n)$$

Given an Abelian group  $G$  and a prime power  $p^m$ , let  $G_{p^m} := G/p^m G$ .

# Sufficient condition for generalized spectral determinacy

## Definition (Walk matrix)

Given an integer matrix  $X \in \mathbb{Z}^{n \times n}$ , consider the matrix

$$W := [e, Xe, X^2e, \dots, X^{n-1}e]$$

where  $e = (1, \dots, 1)^T$ .

## Notation

$$\text{coker}(W) := \mathbb{Z}^n / W(\mathbb{Z}^n)$$

Given an Abelian group  $G$  and a prime power  $p^m$ , let  $G_{p^m} := G/p^m G$ .

## Theorem. (Wang 2017; see also Qui, Wang, and Zhang 2023)

Consider a simple graph  $G$  and set  $X := A_G$ . Assume that  $\text{coker}(W)_{2^2} \cong (\mathbb{Z}/2\mathbb{Z})^{\lfloor n/2 \rfloor}$  and  $\text{coker}(W)_{p^2} \in \{0, \mathbb{Z}/p\mathbb{Z}\}$  for odd primes  $p$ .

Then,  $G$  is determined by generalized spectrum.

**Suppose  $X$  is random.**

**How can we study the distribution of  $\text{coker}(W)$ ?**

# Results

## Disclaimer.

For technical reasons, all results assume that  $\mathbf{X}$  has independent entries.

This implies that we can not (yet) deal with the adjacency matrices of *simple* random graphs: those have dependent entries due to the symmetry constraint  $\mathbf{X} = \mathbf{X}^T$ .



# Results

## Disclaimer.

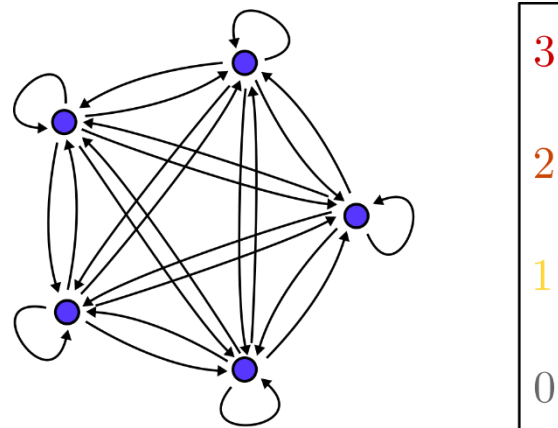
For technical reasons, all results assume that  $\mathbf{X}$  has independent entries.

This implies that we can not (yet) deal with the adjacency matrices of *simple* random graphs: those have dependent entries due to the symmetry constraint  $\mathbf{X} = \mathbf{X}^T$ .

## Assumption 1<sup>st</sup> result

Fix a prime  $p$  and integer  $m \geq 0$ .

Assume that the entries of  $\mathbf{X}$  are independent and  $\text{Unif}\{0, 1, \dots, p^m - 1\}$ -distributed.



# Results

## Disclaimer.

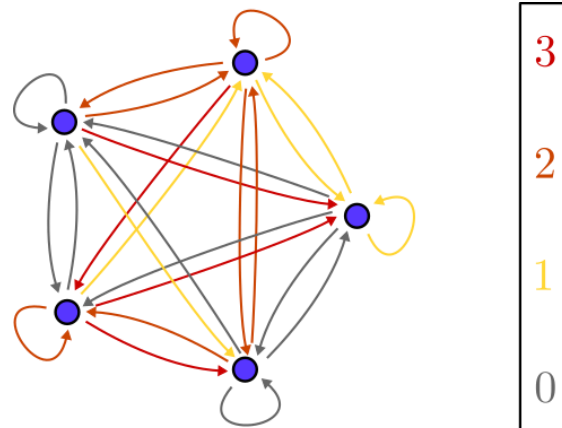
For technical reasons, all results assume that  $\mathbf{X}$  has independent entries.

This implies that we can not (yet) deal with the adjacency matrices of *simple* random graphs: those have dependent entries due to the symmetry constraint  $\mathbf{X} = \mathbf{X}^T$ .

## Assumption 1<sup>st</sup> result

Fix a prime  $p$  and integer  $m \geq 0$ .

Assume that the entries of  $\mathbf{X}$  are independent and  $\text{Unif}\{0, 1, \dots, p^m - 1\}$ -distributed.



# Results

## Assumption 1<sup>st</sup> result

$X$  has independent  $\text{Unif}\{0,1, \dots, p^m - 1\}$ -distributed entries.

## Theorem 1.

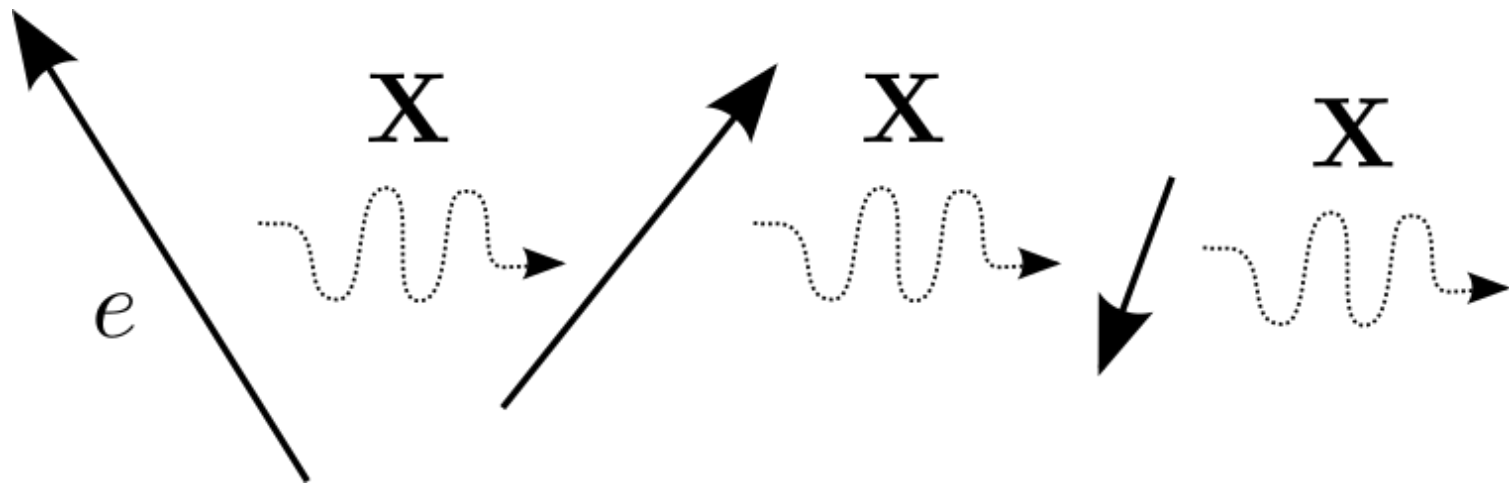
We have

$$\lim_{n \rightarrow \infty} \mathbb{P} \left( \text{coker}(\mathbf{W})_{p^m} \cong \bigoplus_{i=1}^{\ell} \frac{\mathbb{Z}}{p^{\lambda_i} \mathbb{Z}} \right) = \prod_{i=i_0}^{\infty} (1 - p^{-(i+1)}) \prod_{j=1}^{\ell} p^{-j \delta_j}$$

for every  $0 = \lambda_0 \leq \lambda_1 \leq \dots \leq \lambda_{\ell} \leq m$ .

Here,  $i_0 := \#\{i \leq \ell : \lambda_i = m\}$  and  $\delta_j = \lambda_{\ell-j+1} - \lambda_{\ell-j}$ .

# Proof idea



# Proof idea

## There is dependence!

Observe that if

$$X^j e \in \text{span}_{\mathbb{Z}}(e, Xe, \dots, X^{j-1}e) + p^k \mathbb{Z}^n$$

then also

$$X^{j+1}e \in \text{span}_{\mathbb{Z}}(e, Xe, \dots, X^{j-1}e, X^j e) + p^k \mathbb{Z}^n.$$

## Key observation. (Informally)

Aside from the obstruction above, there is independence.

**Interpretable proof!**

**Interpretable proof!**

**Sadly, the technique is not robust.**

**Interpretable proof!**

**Sadly, the technique is not robust.**

**How can we study *unweighted* graphs?**



# Results

## Assumption simplified 2<sup>nd</sup> result

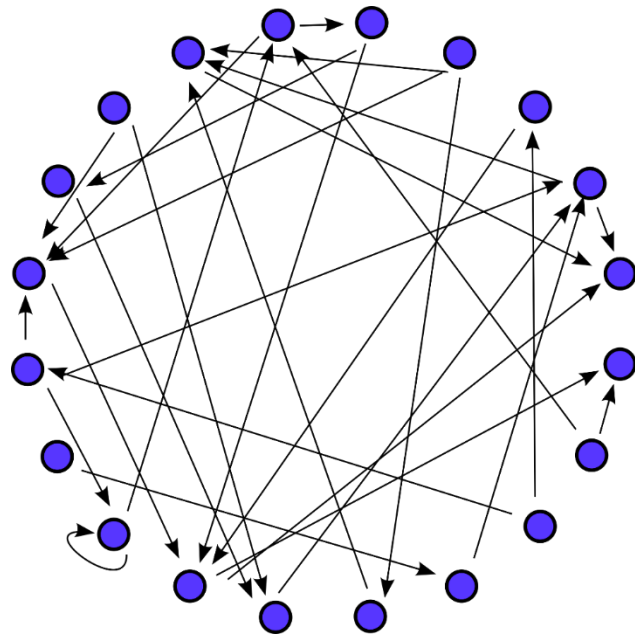
Suppose  $\mathbf{X}$  has independent  $\{0,1\}$ -valued entries. (Not necessarily identically distributed.)

Further, consider a sparse setting:

$$\mathbb{P}(\mathbf{X}_{i,j} = 1) \leq \mathbb{P}(\mathbf{X}_{i,j} = 0)$$

But not *too* sparse:

$$\mathbb{P}(\mathbf{X}_{i,j} = 1) \gg \ln(n)/n$$



# Results

## Assumption simplified 2<sup>nd</sup> result

$\mathbf{X}$  has independent  $\{0,1\}$ -valued entries with  $\mathbb{P}(\mathbf{X}_{i,j} = 1) \leq \mathbb{P}(\mathbf{X}_{i,j} = 0)$  and

$$\mathbb{P}(\mathbf{X}_{i,j} = 1) \gg \ln(n)/n$$

## Technical condition.

Additionally assume tightness:

$$\lim_{C \rightarrow \infty} \liminf_{n \rightarrow \infty} \mathbb{P}(\#\text{coker}(\mathbf{W})_{p^m} \leq C) = 1.$$

# Results

## Assumption simplified 2<sup>nd</sup> result

$\mathbf{X}$  has independent  $\{0,1\}$ -valued entries with  $\mathbb{P}(\mathbf{X}_{i,j} = 1) \leq \mathbb{P}(\mathbf{X}_{i,j} = 0)$  and

$$\mathbb{P}(\mathbf{X}_{i,j} = 1) \gg \ln(n)/n$$

## Technical condition.

Additionally assume tightness:

$$\lim_{C \rightarrow \infty} \liminf_{n \rightarrow \infty} \mathbb{P}(\#\text{coker}(\mathbf{W})_{p^m} \leq C) = 1.$$

## Theorem 2. (Simplified)

Fix a finite collection of primes  $\mathcal{P}$ .

Then, given the conditions above,

1. The same limiting law applies to  $\text{coker}(\mathbf{W})_{p^m}$  for every  $p \in \mathcal{P}$ .
2. We have asymptotic independence for different primes  $p \in \mathcal{P}$ .

**Robust proof technique:  
category-theoretic moment method.**

# Category-theoretic moment method

**Observation.**

$\text{coker}(W)$  is not only an Abelian group.

There is a canonical  $\mathbb{Z}[x]$ -module structure induced by the action of  $X$ .

# Category-theoretic moment method

## Observation.

$\text{coker}(\mathbf{W})$  is not only an Abelian group.

There is a canonical  $\mathbb{Z}[x]$ -module structure induced by the action of  $\mathbf{X}$ .

## Category-theoretic moment method (Sawin and Wood, 2022)

It suffices to determine

$$\lim_{n \rightarrow \infty} \mathbb{E}[\#\text{Sur}_{\mathbb{Z}[x]}(\text{coker}(\mathbf{W}), N)]$$

for every fixed finite  $\mathbb{Z}[x]$ -module  $N$ .

# Future work

## Extension to simple graphs.

The prime 2 behaves very different.

Odd primes are qualitatively similar. Numerics suggest a small quantitative difference.

## Conjecture. (Technical condition is satisfied)

If  $\mathbf{X}$  has independent  $\{0,1\}$ -valued entries with  $\mathbb{P}(\mathbf{X}_{i,j} = 1) \leq \mathbb{P}(\mathbf{X}_{i,j} = 0)$   
and  $\mathbb{P}(\mathbf{X}_{i,j} = 1) \gg \ln(n)/n$ .

Then,

$$\lim_{C \rightarrow \infty} \liminf_{n \rightarrow \infty} \mathbb{P}(\#\text{coker}(\mathbf{W})_{p^m} \leq C) = 1.$$

# Thank you!

Key reference related to this talk are as follows:

## **Generalized spectral determinacy:**

W. Wang and C.-X. Xu. *A sufficient condition for a family of graphs being determined by their generalized spectra*. European Journal of Combinatorics, 2006.

W. Wang. *A simple arithmetic criterion for graphs being determined by their generalized spectra*. Journal of Combinatorial Theory, Series B, 2017.

L. Qiu, W. Wang, and H. Zhang. *Smith normal form and the generalized spectral characterization of graphs*. Discrete Mathematics, 2023

## **Category-theoretic moment method:**

W. Sawin and M.M. Wood. *The moment problem for random objects in a category*. arXiv:2210.06279v1, 2022.

## **The current work:**

A. Van Werde. *Cokernel statistics for walk matrices of directed and weighted random graphs*. arXiv:2401.12655, 2024



# Numerical evidence

Estimated probability that  $\text{coker}(W)_{p^2} \in \{0, \mathbb{Z}/p\mathbb{Z}\}$  for  $X \sim \text{Unif}\{0,1\}^{n \times n}$ :

$p$	$n = 10$	$n = 12$	$n = 15$	$n = 20$	$n = 30$	$n = 40$	Theorem 1.2
3	0.650	0.707	0.737	0.746	0.749	0.747	0.746834...
5	0.759	0.844	0.898	0.911	0.911	0.912	0.912399...
7	0.786	0.881	0.940	0.956	0.957	0.956	0.956337...
11	0.802	0.901	0.965	0.982	0.983	0.983	0.982726...

Estimated probability that  $\text{coker}(W)_{p^2} \in \{0, \mathbb{Z}/p\mathbb{Z}\}$  for  $X = A_G$  with  $G \sim \mathcal{G}(n, 1/2)$ :

$p$	$n = 10$	$n = 12$	$n = 15$	$n = 20$	$n = 30$	$n = 40$	Theorem 1.2
3	0.495	0.625	0.726	0.757	0.756	0.758	0.746834...
5	0.549	0.725	0.869	0.913	0.914	0.915	0.912399...
7	0.563	0.750	0.906	0.953	0.956	0.957	0.956337...
11	0.571	0.765	0.930	0.981	0.983	0.983	0.982726...